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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. DC5133PCT1	
In Re Application Of		SHIM et. al			
Application No. 10/559525	Filing Date 06/DEC/2005	Examiner	Customer No. 00137	Group Art Unit	Confirmation No.
Title: A Mechanical Inversion Process for Making Silicone Oil-in-Water Emulsions					
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					
37 CFR 1.97(b)					
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.					
37 CFR 1.97(c)					
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:					
<div style="margin-left: 40px;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e); </div>					
OR					
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DC5133PCT1

In Re Application: SHIM et. al

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Title: A Mechanical Inversion Process for Making Silicone Oil-in-Water Emulsions

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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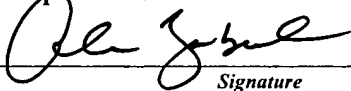
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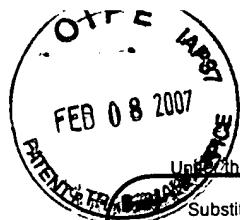

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Dated: FEB 5, 2007

CustomerNumber 00137

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

Complete if Known

Application Number	10/559525
Filing Date	06/DEC/2005
First Named Inventor	SHIM
Art Unit	
Examiner Name	
Attorney Docket Number	DC5133 PCT1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,518,727	05-21-1985	Traver, Frank J.	
		US- 5,354,804	10-11-1994	Inada, Jun, et. al.	
		US- 5,763,505	06-09-1998	Derian, Paul-Joel	
		US- 5,840,800	11-24-1998	Joffre, Eric Jude, et. al.	
		US- 5,806,975	09-15-1998	Hosokawa, Hidehiko, et. al.	
		US- 5,942,574	08-24-1999	Hosokawa, Hidehiko, et. al.	
		US- 6,037,407	03-14-2000	Derian, Paul-Joel, et. al.	
		US- 6,531,229 B1	03-11-2003	Franzoni, Christine, et. al.	
		US- 6,713,558 B2	03-30-2004	Altes, Michael Gene, et. al.	
		US- 6,720,375 B2	04-13-2004	Suzuki, Takashi, et. al.	
		US- 6,737,473 B2	05-18-2004	Altes, Michael Gene, et. al.	
		US- 6,831,128 B2	12-14-2004	Altes, Michael Gene, et. al.	
		US- 6,900,258 B2	05-31-2005	Lin, Shaow Burn, et. al.	
		US- 7,153,902 B2	12-26-2006	Altes, Michael Gene	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		GB 1,191,289	05/13/1970	D. Young & Co.		
		EP 0 976 789 A1	02/02/2000	Kaneka Corp.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant(s): ANNE Katja SHIM

Docket No.

DC5133 PCT1

Application No.

10/559525

Filing Date

06/DEC/2005

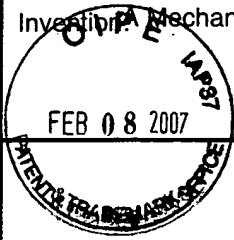
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Customer No.

00137

Group Art Unit

Invention: Mechanical Inversion Process for Making Silicone Oil-in-Water Emulsions

I hereby certify that this Supplemental Information Disclosure Statement, IDS List and Prior Art*(Identify type of correspondence)*

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on 05-Feb-2007

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Christine M. Fitak

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